JC01 Rec'd PCT/PTO 12 AUG 2005



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 10/533,654 Confirmation No.: Unknown

Applicant: Brown et al. PCT Appl. No.: PCT/SE03/01707

National Phase Fld (371): May 4, 2005 PCT Filed: November 5, 2003

Docket No.: 100883-1P US TC/A.U.: Unknown

Customer No.:22466 Examiner: Unknown

Title: 4(Phenyl-Piperazinyl-Methyl) Benzamide Derivatives and Their Use for the Treatment

of Pain or Gastrointestinal Disorders

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith a list of patents and publications for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO/SB/08A and SB/08B forms.

Applicants also wish to make the Examiner aware of co-pending applications:

10/714447, filed on Nov. 17, 2003;

10/477642, a PCT national phase filed on May 16, 2002; and

10/533764, a PCT national phase filed on November 5, 2003; and

10/533744, a PCT national phase filed on November 5, 2003.

In accordance with 37 C.F.R. §§ 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that the information cited is material to patentability as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits and therefore, pursuant to 37 C.F.R. § 1.97(b), no fee is required pursuant to 37 C.F.R. § 1.17(p).

Respectfully submitted,

Jianzhong Shen Reg. No.48,076

302-886-8854

Dated: Ang. 10, 2005

Enclosures:

Forms SB/08A and SB/08B

References (50)

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Application Number	10/533,654					
Filing Date	05/04/2005					
First Named Inventor	William Brown					
Art Unit						
Examiner Name						
Attorney Docket Number	100883-1P US					

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (fi known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 3,940,386	02/24/1976	Szabo et al.	
-	A2	<sup>US-</sup> 5,574,159	11/12/1996	Chang et al.	
	A3	<sup>us-</sup> 5,681,830	10/28/1997	Chang et al.	
	A4	<sup>us-</sup> 5,807,858	09/15/1998	Chang et al.	
	A5	<sup>us-</sup> 5,854,249	12/29/1998	Chang et al.	
	A6	<sup>US-</sup> 6,130,222	10/10/2000	Roberts et al.	
	A7	<sup>us-</sup> 6,680,318	01/20/2004	Brown et al.	
	A8	<sup>US-</sup> 6,680,321	01/20/2004	Roberts et al.	
	A9	<sup>us-</sup> 6,696,447	02/24/2004	Brown et al.	
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	B1	WO	86/04584	08/14/1986	Aktiebolaget Leo				
	B2	WO	91/07967	06/13/1991	Janssen				
	В3	WO	01/74805	10/11/2001	AstraZeneca AB				
	B4	wo	93/15062	08/05/1993	The Wellcome				
	В5	WO	97/23466	07/03/1997	Astra Pharma Inc.				

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/533.654	
Filing Date	05/04/2005	
First Named Inventor	William Brown	
Art Unit		
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	B6	WO	95/04051	02/09/1995	The Wellcome				
	В7	WO	98/28270	07/02/1998	Astra Aktiebolag		Г		
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<b>STATEMENT</b>	BY	APPI	_ICA	NT

Complete if Known Application Number 10/533,654 Filing Date 05/04/2005 First Named Inventor William Brown Art Unit **Examiner Name** 

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9 Attorney Docket Number 3 100883-1P US Sheet

	U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	B11	EP	0283310	09/21/1988	Sankyo Company				
	B12	EP	0166302	01/02/1986	Polindustria				
	B13	EP	0133323	02/20/1985	The Wellcome				
	B14	GB	2210366	06/07/1989	American Home				
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Signature	Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/533,654			
Filing Date	05/04/2005			
First Named Inventor	William Brown			
Art Unit				
Examiner Name				

Sheet 4 of 9 Attorney Docket Number 100883-1P US

U. S. PATENT DOCUMENTS								
Examiner Cite No.1		Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	B16	FR	2696744	04/15/1994	Laboratoires						
	B17	DE	2431178	01/16/1975	Cermol S.A.	***************************************					
	B18	DE	2900810	07/24/1980	Cassella AG	·					
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	B20	WO	97/23466	07/03/1997	Astra Aktiebolag						

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known CO A TELES Substitute for form 1449A/PTO **Application Number** <u> 10/533,654</u> Filing Date INFORMATION DISCLOSURE 05/04/2005 First Named Inventor STATEMENT BY APPLICANT William Brown Art Unit **Examiner Name** (Use as many sheets as necessary) 9

Sheet

**Attorney Docket Number** 

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	FOREIGN PATENT DOCUMENTS										
Examiner	Cite		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,					
Initials*	No.'	No.¹ Country Code³ Number⁴ Kind Code⁵ (if known)		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear					
	B21	WO	92/04338	03/19/1992	The Up-John Co.						
	B22	EP	0624584	08/19/1998	Daiichi	***************************************					
	B23	wo	02/094794	11/28/2002	AstraZeneca AB						
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	B26	WO	2005/066148	07/21/2005	AstraZeneca AB						
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	B28	WO	2004/041800	05/21/2004	AstraZeneca AB	***************************************					
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				Application Number	10/533,654	
INFOR	MATION	DISCLOS	SURE	Filing Date	05/04/2005	
STATEMENT BY APPLICANT				First Named Inventor	William Brown	
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Sheet	7_	of	9	Attorney Docket Number	100883-1P US	

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	C7	Katrizky et al., "Benzotriazole-Mediated Arylalkylation and Heteroarylalkylation," Chem. Soc. Rev. 23:363-373 (1994)				
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Signature	Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	r form 1449B/PTO			Complete if Known				
AATT				Application Number	10/533,654			
INFO	RMATION DI	ISCLO	SURE	Filing Date	05/04/2005			
STAT	EMENT BY	<b>APPLI</b>	CANT	First Named Inventor	William Brown			
				Art Unit				
	(Use as many sheets as	s necessary)	)	Examiner Name		****		
Sheet	8	of	9	Attorney Docket Number	100883-1P US			

		NON PATENT LITERATURE DOCUMENTS				
Examiner Cite No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	C11	Suggs et al., "Facile Synthesis fo 8-Substituted Quinolines," J. Org. Chem., 45:1514-1515 (1980)				
	C12	Zhang et al., "Probes for Narcotic Receptor Mediated Phenomena. 26. Synthesis Opioid Receptor Ligands," J. Med. Chem. 42:5455-5463 (1999)				
	C13	English Abstract for Reference B16 on PTO/SB/08A, Page 4				
	C14	English Abstract for Reference B17 on PTO/SB/08A, Page 4				
	C15	English Abstract for Reference B18 on PTO/SB/08A, Page 4				
	C16	English Abstract for Reference B19 on PTO/SB/08A, Page 4				
	C17	Burkey et al., "The Efficacy of Delta-Opioid Receptor-Selective Drugs," Medline Abstract for Life Sci. 62:1531-1536 (1998)				
	C18	Nagase et al., "The Pharmacological Profile of Delta Opioid Receptor Ligands, (+) and (-) TAN-67 on Pain Modulation," Medline Abstract for Life Sci. 68:2227-2231 (2001)				
	C19	Green, "Protective Groups in Organic Synthesis," pgs. 267-268 and 331 (1981)				
	C20	Abstract for HU 217619. A corresponding English language PCT application is cited as Reference B1 listed on PTO/SB/08A, Page 1				

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Examiner	Date
Signature	Considered

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Complete if Known				
Application Number	10/533,654			
Filing Date	05/04/2005			
First Named Inventor	William Brown			
Art Unit	·			
Examiner Name				
Attorney Docket Number	100883-1P US	<del></del>		

he author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	
nal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Historical Review: Opioid Receptors," Trends in Pharmacological Sciences, 98-205 (2003)	
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	U 215847. A corresponding English language PCT application is cited as n PTO/SB/08A, Page 1  Piperazinyl Benzamidines: Synthesis and Affinity for the Delta Opioid organic & Medicinal Chemistry Letters, Vol. 11, pgs. 1741-1743 (2001)  Historical Review: Opioid Receptors," Trends in Pharmacological Sciences, 98-205 (2003)

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	Application Number	10/533,654				
TRANSMITTAL	Filing Date	May 4, 2005				
FORM	First Named Inventor	Brown				
	Art Unit	Unknown				
(to be used for all cormoned ones offer initial filling)	Examiner Name	Unknown				
(to be used for all correspondence after initial filing)	Attorney Docket Number	100883-1P US				
Total Number of Pages in This Submission 12	<u> </u>	1.00000 11 00				
ENC	LOSURES (Check al	that apply)				
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC			
Fee Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment/Reply .	Petition Petition to Convert to a		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Provisional Application		Proprietary Information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence		Status Letter			
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Document(s)						
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Firm Name	DE AFFLICANT, ATTO	KNET, OR AG	JEN I			
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Date Aug. 10, 200		Reg. No. 48,07	6			
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